RELOCATION PLAN
R2707D CLEVELAND COUNTY
SEPTEMBER 20, 2022

The Shelby Bypass (R2707) individual 404/401 permit was issued in 2012. One of the project commitments is to use bioengineering techniques to relocate the tributary of Buffalo Creek between SR 2063 (Kemper Road) and the Light Oak community. The result would be meandering stream with riffles and pools and banks stabilized with native vegetation and root wads instead of rip-rap as appropriate.

The tributary to Buffalo Creek flows parallel to the proposed D section of the Bypass (R2707D) and construction will result in impacts to various sections of the tributary. Site visits were conducted with regulatory agencies in 2018 to determine if the identified tributary was suitable for relocation using bioengineering techniques. Areas were identified for relocation if they would be buried by the road fill. Adjacent areas were identified as well as connections to tributaries in order to create a more stable system. Relocating the tributaries in place of burying them or creating rip-rap lined channels minimizes the impacts from the proposed roadway. In addition, the relocation will result in functional uplift to a degraded system. The tributary is identified as stream 7-1 in the permit document and impact figures. The connecting tributaries are mapped as 7-3, 7-4, and 7-5. The below table summarizes the stream and connecting tributary impacts as presented in the permit application and impact drawings:

Surface Water Impact Summary							
Impact Site No.	Station (From/To)	Structure Size/Type	Permanent SW Impacts (ac)	Temp. SW Impacts (ac)	Existing Channel Impacts Permanent (ft)	Existing Channel Impacts Temp. (ft)	Natural Stream Design(ft)
4A	720+05 TO 725+00-L-LT	CHANNEL	0.15	< 0.01	806	20	621
4B	720+52 TO 721+60-L-LT	CHANNEL	< 0.01	< 0.01	109	15	199
5A	727+99 TO 732+97-L-LT	CHANNEL	0.16	< 0.01	664	40	530
5B	730+88 TO 732+24-L-LT	CHANNEL	0.01	< 0.01	186	15	134
6A	734+37 TO 740+78-L-LT	CHANNEL	0.30	0.02	1,178	56	878
6B	739+73 TO 742+83-L-LT	CHANNEL	0.12		468		316

This mitigation plan includes information on the existing and proposed conditions as well as monitoring standards.

1.0 BASELINE INFORMATION

The R2707D Channel Relocation is located east of Shelby in Light Oak, Cleveland County. The channel relocation initiates north of Kemper Road and continues downstream past Kellom Dr.

Watershed Designations	
River Basin	Broad River
DWR Sub-basin	03-08-05
Watershed	Beason Creek – Buffalo Creek
Hydrologic Unit Code	030501050804
NCDWR Classification	C
EPA 303(d) List	Not Listed
Physiographic Region	Piedmont
EPA Level IV Ecoregion	Southern Outer Piedmont

Site Watershed Characteristics				
Site Watershed size	1.14 sq mi (730 acres)			
Historic Land Use	An historic aerial from 1947 shows the watershed is mostly agricultural land with some wooded areas along streams and the county fairgrounds. By 1993 the fairgrounds have expanded, parking lots and a bus depot off of Kemper Road were built, and residential development has occurred in the Oak Grove neighborhood and along roadways in the headwaters.			
Site Watershed Land Use	Forested	24.5%	Residential	23.1%
	Open Space/Grass	22.1%	Impervious	15.2%
	Agriculture	15.2%		
Zoning/Future Land Use	Impervious surfaces will increase to 19% with construction of the bypass. In addition, 11% of undeveloped portions of the watershed are zoned for future commercial development. However, a quarter of this will be protected in NCDOT ROW purchased for the stream relocation and protection of the dwarf flowered heartleaf. The remainder of the undeveloped portions of the watershed are zoned for low density residential development.			

Stream Existing Conditions

Historically managed to support generations of silviculture and agriculture, the existing streams are now flanked on each side of the valley by residential/light industrial development. Throughout this land use

history, the streams themselves have experienced a range of human modifications including damming, ditching, channelizing, and/or armoring.

The following table provides a summary of existing conditions (length, characteristics and classification) for each reach: 7-1, 7-3, 7-4, 7-5.

Reach Classification									
Surface Waters ID	Impact ID	Cross Reference: *"Plan for Channel Relocation"* Drawings	Length (ft)	Reach Properties (average)					**Rosgen Classification**
				Width To Depth Ratio	Entrenchment Ratio	Sinuosity	Slope (%)	Substrate	
7-1	4A	Site 1 / Reach 1A	806	9.90	1.38	1.86	1.26	Gravel	B4c
	5A	Site 2 / Reach 1B	664	11.08	1.47	1.26	1.10	Gravel	B4c
	6A	Site 3 / Reach 2A Site 3 / Reach 2C	1178	32.34 37.56	2.66 3.06	1.18 1.49	3.30 0.53	Cobble/Bedrock Gravel	B3/1 C4
7-3	4B	Site 1 / Reach 1A	109	13.00	2.5	1.02	2.6	Cobble/Gravel	Bc3/4
7-4	5B	Site 2 / Reach 1B	186	15.04	2.27	1.02	1.69	Gravel	B4
7-5	6B	Site 3 / Reach 2B	468	32.34	2.66	1.66	0.65	Gravel	C4

NOTE: In general, the data presented above serves as "representative", meaning that some variations/departures within reaches may exist. Data were analyzed by reach and then adapted to impact sites

Beginning in the upper reaches of the project (upstream including stream 7-1, Impact 4A; reference Figure 1), the channel is steeper, interacting with bedrock to behave as a gravel/bedrock step-pool system (classification: Rosgen B). These upper reaches, though somewhat armored by bedrock, have still degraded as observed in the channel downcutting, lateral instability, fair bedform, and fair riparian zone (vegetative width and composition). Further downstream (middle portion of Reach 7-1, Impact 5A), the valley broadens and where there is potential to transition (through a bedrock armored section, Impact 5A) to a sinuous riffle-pool sand bed complex (Classification: Rosgen C), the disturbed existing channels become further incised and resemble confined F-channels, characterized by poor horizontal stability, disconnection with active floodplain, poor bedform (indistinguishable facets), and poor riparian zone. Approaching the downstream extents of the stream project (lower Reach 7-1, Impact 6A), further valley widening and sediment contribution from tributaries and surrounding land results in aggradation and sediment imbalance. Throughout Stream 7-1, the channel predominantly classes out as a Bc/C type channel attempting to establish a stable form (downstream of Reach 7-5).

Detailed field data was collected at seven locations along Reaches 7-1 and 7-5 (reference "Plan for Channel Relocation") within the project area and compiled/evaluated to develop this summary of existing stream conditions. At each location, the thalweg profile and multiple cross sections were surveyed by engineers with a total station. The reach locations were predominantly where the proposed relocated stream will tie-in to the existing channel. Profile and cross section data were used to classify the Rosgen stream type and for consideration of hydraulic geometry in support of overall functional assessment.

The geomorphic data, in addition to visual investigation (Pfankuch Stability, consistently "Fair"), was used to determine the Bank Erosion Hazard Index (BEHI, mostly "High" to "High-Moderate") and Near-Bank Stress (NBS, also mostly "High" to "High-Moderate") for both stream banks along the impact sites. A summary of BEHI/NBS approximation and weighted average is provided in the below table:

BEHI/NBS Summary														
Impact Site No.	% Very Low		% Low		Moderate		High		Very High		Extreme		Weighted Average	
	BEHI	NBS	BEHI	NBS	BEHI	NBS	BEHI	NBS	BEHI	NBS	BEHI	NBS	BEHI	NBS
4A	5	10	20	30	40	35	15	10	15	10	5		M-H	M-H
4B	5	5	30	25	50	65	10	5	5				M	M-H
5A	10	40	40	50	45	10	5						M-L	L
5B	30	55	60	40	10	5							L	L
6A			5	15	15	15	60	40	15	25	5	5	H-VH	H-VH
6B			5	15	15	15	65	50	10	15	5	5	H-VH	H-VH

These metrics can be used to predict the magnitude of erosion from the banks at the current condition via the Bank Assessment for Non-point Source Consequences of Sediment (BANCS) method. In this case, the BEHI and NBS data collected were used to qualitatively assess the stability of the banks. Additionally, the North Carolina Stream Assessment Method (NC SAM) was used to determine the level of function of the streams at the impact sites. Cumulatively, this data was used to evaluate the function, form, and stability of the existing channel that will be relocated.

2.0 MITIGATION WORK PLAN

The goal of the project is to minimize impacts to the Buffalo Creek tributary and provide functional uplift by relocating the channel using bioengineering techniques and natural channel design methods. The stream design allowed for lower gradient culverts located at the upstream and downstream ends of the relocation area.

The existing, manipulated stream struggles to convey the hydrologic and sediment loading regimes of a modified (developed) watershed, resulting in a dysfunctional, degraded stream. With or without the proposed roadway project, this existing stream will persist in disequilibrium util it receives active management imposing a balance between form and process.

The proposed improvements aim to pair proposed channel form and hydraulic geometry (reference "Plan for Channel Relocation" for geomorphic table) with the current/future hydrologic and sediment loading regimes resulting in an appropriate natural form that corresponds to modified watershed processes. A Proposed channel hydraulics build upon existing function, reducing or eliminating issues associated with lateral bank stability, bedform diversity, access to active floodplain and riparian zone functions. By crafting channels in select locations and leaving other portions undisturbed, this plan proposes to improve stream and floodplain functionality throughout the project length. In addition to detailed grading (channel-floodplain geometry), this plan proposes in-stream bedform treatments (Vanes, J-Hook, Riffles) and bank bioengineering treatments (wood toe, live staking) that both promote vertical/horizontal stability, while also contributing to bedform diversity and associated aquatic habitat.

Thirdly, this plan proposes a robust reforestation plan that provides for stable establishment of buffer/bank vegetation following construction. Reforestation plans are included in the "Plan for Channel Relocation" on sheets RF-1 to RF-4.

4.0 SUCCESS CRITERIA

The stream relocation site shall be monitored for five years or until success criteria are satisfied. NCDOT will evaluate the success of the stream relocation project based on guidance provided by the April 2003 Stream Mitigation Guidelines, Monitoring Level I protocol disseminated by the United States Army Corps of Engineers-Wilmington District. The survey of channel dimension will consist of permanent cross sections placed at six (6) cross sections (three riffles and three pools). Annual photographs showing both banks and upstream and downstream views will be taken from permanent, mapped photo points. The survey of the longitudinal profile will cover a cumulative total of approximately 2,678 linear feet of channel (2,029' of 7-1, 199'et of 7-3, 134' of 7-4 and 316'of 7-5). The entire restored length of stream will be investigated for channel stability and in-stream structure functionality. Any evidence of channel instability will be identified, mapped and photographed. Pebble counts shall not be conducted. In the event that success criteria are not being met, remedial measures will be coordinated with resource agencies. The monitoring shall be conducted annually for a minimum of five (5) years after final planting. The monitoring results shall be submitted to resource agencies in a final report within sixty (60) days after completing monitoring. After 5 years, the NCDOT shall contact resource agencies to schedule a site visit to "close out" the mitigation site if the site has met success criteria. If success is not met, NCDOT will make necessary adjustments to the site or provide alternative mitigation credits to cover the impacts.

Vegetation Success

The success of vegetation and plantings will be measured through stem counts. Permanent quadrants will be used to sample the riparian buffer. Survival of the live stakes will be determined by visual observation throughout the five-year monitoring period. Bare root vegetation will be evaluated using three (3) staked survival plots. Plots will be 50ft. by 50ft. If site conditions prevent a 50ft. by 50ft. plot, then the plot will have varying dimensions to encompass an area of 2,500 ft2. All flagged stems will be counted in those plots. Success will be defined as 320 stems per acre after three years and 260 stems per acre after five years. All vegetation monitoring will be conducted during the growing season. Appropriate measures will be taken to control nuisance vegetation during the monitoring period if it affects the success of the planted vegetation.

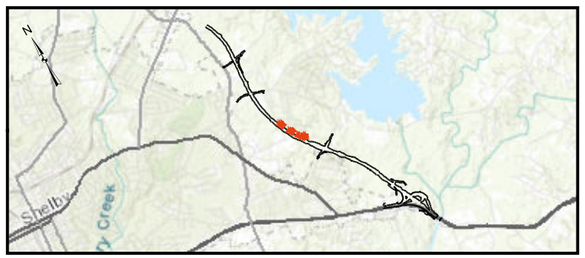
Functional Assessment: Pre and Post construction

NCSAM forms were completed for seven locations along the proposed stream relocation. The main channel was split into 4 reaches. The forms have been attached to this Stream Relocation plan and are labeled Appendix 1. See table below for scores by relocation area. A NCSAM form will be completed after the monitoring period in order to compare the potential functional uplift to pre-project conditions.

SAM Scores		
Stream	Impact Site	SAM Score
7-1 (upstream 1)	4A	Low
7-1 (upstream 2)	4A	Medium
7-3	4B	Medium
7-1 (middle)	5A	High*
7-4	5B	High*
7-1 (lower above confluence with 7-5))	6A	Low
7-1 (lower below confluence with 7-5)	6A	Low
7-5	6B	Low

*These reaches scored high due to the wooded riparian buffers and streamside vegetation. Both reaches have considerable bank instability.

Reach1
Reach 2
Reach 3
Reach 4
Reach 5
Reach 6
Reach 7
Impact 4B (7-3)
Impact 4A (7-1)
Impact 5B (7-4)
Impact 5A (7-1)
Impact 6A (7-1)
Impact 6B (7-5)
bing



0 200 400 Feet

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Stantec

Project Location
Cleveland County

Client/Project
NCDOT Division 12
STIP Project R-2707D/E
2017 Merger Team Update

Figure No.
2B

Title
Stream Relocation

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 11/10/2017
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz, Alex Baldwin, Stnatec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Buffalo Creek
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.286554, -81.480992

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-1 (Impact Site 4A US)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☒Perennial flow ☐Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: **(skip for Tidal Marsh Stream**) ☐Size 1 (< 0.1 mi^2) ☒Size 2 (0.1 to < 0.5 mi^2) ☐Size 3 (0.5 to < 5 mi^2) ☐Size 4 (≥ 5 mi^2)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☒Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☒No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)

☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric

☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric

☐A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☒B Not A

4. Feature Longitudinal Profile – assessment reach metric

☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric

Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).

☐A < 10% of channel unstable
☒B 10 to 25% of channel unstable
☐C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB	RB	
☐A	☐A	Little or no evidence of conditions that adversely affect reference interaction
☒B	☒B	Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
☐C	☐C	Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] or too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) or floodplain/intertidal zone unnaturally absent or assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.

- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☐B Excessive sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach and causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☐I Other: _____ (explain in "Notes/Sketch" section)
- ☒J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.

- ☐A Drought conditions and no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions and rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☒No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric
10a. ☐Yes ☐No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**

- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☒B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☒C Multiple snags and logs (including lap trees)
- ☐D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☐E Little or no habitat

Check for Tidal Marsh Streams Only

- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

**************************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS*********************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☒No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**

- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☒	☐	☐	☐	☐	Bedrock/saprolite
☒	☐	☐	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☐	☒	☐	Cobble (64 – 256 mm)
☐	☐	☒	☐	☐	Gravel (2 – 64 mm)
☐	☐	☐	☒	☐	Sand (.062 – 2 mm)
☒	☐	☐	☐	☐	Silt/clay (< 0.062 mm)
☐	☒	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☒Yes ☐No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☒Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☒	☐	Beetles
☐	☐	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☐	☒	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☒	☐	Other fish
☐	☐	Salamanders/tadpoles
☐	☐	Snails
☐	☐	Stonefly larvae (P)
☐	☐	Tipulid larvae
☐	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☐A	☐A	Little or no alteration to water storage capacity over a majority of the streamside area
☒B	☐B	Moderate alteration to water storage capacity over a majority of the streamside area
☐C	☒C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

- ☐A Streams and/or springs (jurisdictional discharges)
- ☐B Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
- ☐C Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
- ☐D Evidence of bank seepage or sweating (iron in water indicates seepage)
- ☒E Stream bed or bank soil reduced (dig through deposited sediment if present)
- ☐F None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

- ☐A Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
- ☐B Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
- ☐C Urban stream (≥ 24% impervious surface for watershed)
- ☐D Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
- ☐E Assessment reach relocated to valley edge
- ☒F None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

- ☒A Stream shading is appropriate for stream category (may include gaps associated with natural processes)
- ☐B Degraded (example: scattered trees)
- ☐C Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)

Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated		Wooded		
LB	RB	LB	RB	
☒A	☒A	☒A	☐A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☐B	☐B	☐B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☐E	☒E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☒B	☐B	Non-mature woody vegetation or modified vegetation structure
☐C	☒C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)

Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).

If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☐

Abuts		< 30 feet		30-50 feet		
LB	RB	LB	RB	LB	RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☐C	☒C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☒A	☐A	Medium to high stem density
☐B	☒B	Low stem density
☐C	☐C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)

Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)

Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☒B	☐B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☐C	☒C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)

25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☒No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R27207D 7-1 (Impact Site 4A US)	Date of Assessment	11/10/2017
Stream Category	Pa2	Assessor Name/Organization	Melissa Ruiz, Alex Baldwin, Stnatec

Notes of Field Assessment Form (Y/N)	NO
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	NO
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Intermittent

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**LOW**	
(2) Baseflow	**HIGH**	
(2) Flood Flow	**LOW**	
(3) Streamside Area Attenuation	**MEDIUM**	
(4) Floodplain Access	**MEDIUM**	
(4) Wooded Riparian Buffer	**MEDIUM**	
(4) Microtopography	**LOW**	
(3) Stream Stability	**LOW**	
(4) Channel Stability	**MEDIUM**	
(4) Sediment Transport	**LOW**	
(4) Stream Geomorphology	**MEDIUM**	
(2) Stream/Intertidal Zone Interaction	NA	
(2) Longitudinal Tidal Flow	NA	
(2) Tidal Marsh Stream Stability	NA	
(3) Tidal Marsh Channel Stability	NA	
(3) Tidal Marsh Stream Geomorphology	NA	
(1) Water Quality	**HIGH**	
(2) Baseflow	**HIGH**	
(2) Streamside Area Vegetation	**MEDIUM**	
(3) Upland Pollutant Filtration	**LOW**	
(3) Thermoregulation	**HIGH**	
(2) Indicators of Stressors	**NO**	
(2) Aquatic Life Tolerance	**HIGH**	
(2) Intertidal Zone Filtration	NA	
(1) Habitat	**LOW**	
(2) In-stream Habitat	**LOW**	
(3) Baseflow	**HIGH**	
(3) Substrate	**LOW**	
(3) Stream Stability	**MEDIUM**	
(3) In-stream Habitat	**MEDIUM**	
(2) Stream-side Habitat	**HIGH**	
(3) Stream-side Habitat	**MEDIUM**	
(3) Thermoregulation	**HIGH**	
(2) Tidal Marsh In-stream Habitat	NA	
(3) Flow Restriction	NA	
(3) Tidal Marsh Stream Stability	NA	
(4) Tidal Marsh Channel Stability	NA	
(4) Tidal Marsh Stream Geomorphology	NA	
(3) Tidal Marsh In-stream Habitat	NA	
(2) Intertidal Zone	NA	
Overall	**LOW**	

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 11/10/2017
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz, Alex Baldwin, Stnatec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Kings Mountain Reservoir
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.285453, -81.480133

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-1 (Impact Site4A DS)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☒Perennial flow ☐Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: **(skip for Tidal Marsh Stream**) ☐Size 1 (< 0.1 mi^2) ☒Size 2 (0.1 to < 0.5 mi^2) ☐Size 3 (0.5 to < 5 mi^2) ☐Size 4 (≥ 5 mi^2)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☒Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☒No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)

☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric

☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric

☐A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☒B Not A

4. Feature Longitudinal Profile – assessment reach metric

☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric

Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).

☐A < 10% of channel unstable
☐B 10 to 25% of channel unstable
☒C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB	RB	
☐A	☐A	Little or no evidence of conditions that adversely affect reference interaction
☒B	☒B	Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
☐C	☐C	Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] or too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) or floodplain/intertidal zone unnaturally absent or assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.

- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☒B Excessive sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach and causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☐I Other: _____ (explain in "Notes/Sketch" section)
- ☐J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.

- ☐A Drought conditions and no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions and rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☐No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric
10a. ☐Yes ☒No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**

- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☒B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☒C Multiple snags and logs (including lap trees)
- ☐D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☐E Little or no habitat

Check for Tidal Marsh Streams Only

- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

**************************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS*********************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☒No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**

- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☒	☐	☐	☐	☐	Bedrock/saprolite
☒	☐	☐	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☐	☒	☐	Cobble (64 – 256 mm)
☐	☐	☒	☐	☐	Gravel (2 – 64 mm)
☐	☐	☐	☒	☐	Sand (.062 – 2 mm)
☒	☐	☐	☐	☐	Silt/clay (< 0.062 mm)
☒	☐	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☐Yes ☒No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☒Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☒	☐	Beetles
☐	☒	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☐	☒	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☒	☐	Other fish
☒	☐	Salamanders/tadpoles
☐	☐	Snails
☒	☐	Stonefly larvae (P)
☐	☐	Tipulid larvae
☐	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☐A	☒A	Little or no alteration to water storage capacity over a majority of the streamside area
☒B	☐B	Moderate alteration to water storage capacity over a majority of the streamside area
☐C	☐C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

- ☐A Streams and/or springs (jurisdictional discharges)
- ☐B Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
- ☐C Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
- ☐D Evidence of bank seepage or sweating (iron in water indicates seepage)
- ☒E Stream bed or bank soil reduced (dig through deposited sediment if present)
- ☐F None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

- ☐A Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
- ☐B Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
- ☐C Urban stream (≥ 24% impervious surface for watershed)
- ☐D Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
- ☐E Assessment reach relocated to valley edge
- ☒F None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

- ☐A Stream shading is appropriate for stream category (may include gaps associated with natural processes)
- ☒B Degraded (example: scattered trees)
- ☐C Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)

Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated LB	Vegetated RB	Wooded LB	Wooded RB	
☒A	☒A	☒A	☐A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☐B	☐B	☐B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☐E	☒E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☒B	☐B	Non-mature woody vegetation or modified vegetation structure
☐C	☒C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)

Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).

If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☐

Abuts LB	Abuts RB	< 30 feet LB	< 30 feet RB	30-50 feet LB	30-50 feet RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☐C	☒C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☒A	☐A	Medium to high stem density
☐B	☐B	Low stem density
☐C	☒C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)

Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)

Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☒B	☐B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☐C	☒C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)

25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☒No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R2707D 7-1 (Impact Site4A DS)	Date of Assessment	11/10/2017
Stream Category	Pa2	Assessor Name/Organization	Melissa Ruiz, Alex Baldwin, Stantec

Notes of Field Assessment Form (Y/N)	NO
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	NO
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Perennial

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**LOW**	
(2) Baseflow	**HIGH**	
(2) Flood Flow	**LOW**	
(3) Streamside Area Attenuation	**MEDIUM**	
(4) Floodplain Access	**MEDIUM**	
(4) Wooded Riparian Buffer	**MEDIUM**	
(4) Microtopography	**LOW**	
(3) Stream Stability	**LOW**	
(4) Channel Stability	**LOW**	
(4) Sediment Transport	**MEDIUM**	
(4) Stream Geomorphology	**MEDIUM**	
(2) Stream/Intertidal Zone Interaction	NA	
(2) Longitudinal Tidal Flow	NA	
(2) Tidal Marsh Stream Stability	NA	
(3) Tidal Marsh Channel Stability	NA	
(3) Tidal Marsh Stream Geomorphology	NA	
(1) Water Quality	**MEDIUM**	
(2) Baseflow	**HIGH**	
(2) Streamside Area Vegetation	**LOW**	
(3) Upland Pollutant Filtration	**LOW**	
(3) Thermoregulation	**MEDIUM**	
(2) Indicators of Stressors	**YES**	
(2) Aquatic Life Tolerance	**HIGH**	
(2) Intertidal Zone Filtration	NA	
(1) Habitat	**MEDIUM**	
(2) In-stream Habitat	**MEDIUM**	
(3) Baseflow	**HIGH**	
(3) Substrate	**MEDIUM**	
(3) Stream Stability	**LOW**	
(3) In-stream Habitat	**MEDIUM**	
(2) Stream-side Habitat	**MEDIUM**	
(3) Stream-side Habitat	**MEDIUM**	
(3) Thermoregulation	**MEDIUM**	
(2) Tidal Marsh In-stream Habitat	NA	
(3) Flow Restriction	NA	
(3) Tidal Marsh Stream Stability	NA	
(4) Tidal Marsh Channel Stability	NA	
(4) Tidal Marsh Stream Geomorphology	NA	
(3) Tidal Marsh In-stream Habitat	NA	
(2) Intertidal Zone	NA	
Overall	**MEDIUM**	

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 3/4/2019
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz/Stantec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Buffalo Creek
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.286208, -81.480007

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-3 (Impact Site 4B)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☐Perennial flow ☒Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: **(skip for Tidal Marsh Stream**) ☒Size 1 (< 0.1 mi^2) ☐Size 2 (0.1 to < 0.5 mi^2) ☐Size 3 (0.5 to < 5 mi^2) ☐Size 4 (≥ 5 mi^2)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☐Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☒No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)

☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric

☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric

☐A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☒B Not A

4. Feature Longitudinal Profile – assessment reach metric

☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric

Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).

☐A < 10% of channel unstable
☐B 10 to 25% of channel unstable
☒C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB	RB	
☐A	☐A	Little or no evidence of conditions that adversely affect reference interaction
☒B	☒B	Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
☐C	☐C	Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] or too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) or floodplain/intertidal zone unnaturally absent or assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.

- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☐B Excessive sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach and causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☒I Other: _____ (explain in "Notes/Sketch" section)
- ☐J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.

- ☐A Drought conditions and no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions and rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☒No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric
10a. ☐Yes ☐No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**

- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☐B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☐C Multiple snags and logs (including lap trees)
- ☒D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☐E Little or no habitat

Check for Tidal Marsh Streams Only

- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

**************************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS************************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☐No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**

- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☒	☐	☐	☐	☐	Bedrock/saprolite
☒	☐	☐	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☒	☐	☐	Cobble (64 – 256 mm)
☐	☐	☐	☒	☐	Gravel (2 – 64 mm)
☐	☐	☐	☒	☐	Sand (.062 – 2 mm)
☐	☒	☐	☐	☐	Silt/clay (< 0.062 mm)
☒	☐	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☐Yes ☒No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☐Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☐	☐	Beetles
☐	☒	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☒	☐	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☐	☐	Other fish
☐	☐	Salamanders/tadpoles
☐	☐	Snails
☐	☐	Stonefly larvae (P)
☐	☐	Tipulid larvae
☐	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☒A	☒A	Little or no alteration to water storage capacity over a majority of the streamside area
☐B	☐B	Moderate alteration to water storage capacity over a majority of the streamside area
☐C	☐C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

☐A	Streams and/or springs (jurisdictional discharges)
☐B	Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
☐C	Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
☐D	Evidence of bank seepage or sweating (iron in water indicates seepage)
☒E	Stream bed or bank soil reduced (dig through deposited sediment if present)
☐F	None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

☐A	Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
☐B	Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
☐C	Urban stream (≥ 24% impervious surface for watershed)
☐D	Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
☐E	Assessment reach relocated to valley edge
☒F	None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

☒A	Stream shading is appropriate for stream category (may include gaps associated with natural processes)
☐B	Degraded (example: scattered trees)
☐C	Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)

Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated		Wooded		
LB	RB	LB	RB	
☒A	☒A	☒A	☒A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☐B	☐B	☐B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☐E	☐E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☒B	☒B	Non-mature woody vegetation or modified vegetation structure
☐C	☐C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)

Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).

If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☒

Abuts		< 30 feet		30-50 feet		
LB	RB	LB	RB	LB	RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☐C	☐C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☒A	☒A	Medium to high stem density
☐B	☐B	Low stem density
☐C	☐C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)

Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)

Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☒B	☒B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☐C	☐C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)

25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☐No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R2707D 7-3 (Impact Site 4B)	Date of Assessment	3/4/2019
Stream Category	Pa1	Assessor Name/Organization	Melissa Ruiz/Stantec

Notes of Field Assessment Form (Y/N)	NO
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	NO
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Intermittent

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**MEDIUM**	**MEDIUM**
(2) Baseflow	**HIGH**	**HIGH**
(2) Flood Flow	**MEDIUM**	**MEDIUM**
(3) Streamside Area Attenuation	**HIGH**	**HIGH**
(4) Floodplain Access	**MEDIUM**	**MEDIUM**
(4) Wooded Riparian Buffer	**HIGH**	**HIGH**
(4) Microtopography	**HIGH**	**HIGH**
(3) Stream Stability	**LOW**	**LOW**
(4) Channel Stability	**LOW**	**LOW**
(4) Sediment Transport	**MEDIUM**	**MEDIUM**
(4) Stream Geomorphology	**MEDIUM**	**MEDIUM**
(2) Stream/Intertidal Zone Interaction	NA	NA
(2) Longitudinal Tidal Flow	NA	NA
(2) Tidal Marsh Stream Stability	NA	NA
(3) Tidal Marsh Channel Stability	NA	NA
(3) Tidal Marsh Stream Geomorphology	NA	NA
(1) Water Quality	**MEDIUM**	**MEDIUM**
(2) Baseflow	**HIGH**	**HIGH**
(2) Streamside Area Vegetation	**HIGH**	**HIGH**
(3) Upland Pollutant Filtration	**HIGH**	**HIGH**
(3) Thermoregulation	**HIGH**	**HIGH**
(2) Indicators of Stressors	**YES**	**YES**
(2) Aquatic Life Tolerance	**HIGH**	**NA**
(2) Intertidal Zone Filtration	NA	NA
(1) Habitat	**LOW**	**HIGH**
(2) In-stream Habitat	**LOW**	**MEDIUM**
(3) Baseflow	**HIGH**	**HIGH**
(3) Substrate	**MEDIUM**	**MEDIUM**
(3) Stream Stability	**LOW**	**LOW**
(3) In-stream Habitat	**LOW**	**HIGH**
(2) Stream-side Habitat	**HIGH**	**HIGH**
(3) Stream-side Habitat	**HIGH**	**HIGH**
(3) Thermoregulation	**HIGH**	**HIGH**
(2) Tidal Marsh In-stream Habitat	NA	NA
(3) Flow Restriction	NA	NA
(3) Tidal Marsh Stream Stability	NA	NA
(4) Tidal Marsh Channel Stability	NA	NA
(4) Tidal Marsh Stream Geomorphology	NA	NA
(3) Tidal Marsh In-stream Habitat	NA	NA
(2) Intertidal Zone	NA	NA
Overall	**MEDIUM**	**MEDIUM**

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 11/10/2017
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz, Alex Baldwin, Stantec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Kings Mountain Reservoir
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.284027, -81.47944

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-1 (Impact Site 5A)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☒Perennial flow ☐Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: **(skip for Tidal Marsh Stream)** ☐Size 1 (< 0.1 mi²) ☒Size 2 (0.1 to < 0.5 mi²) ☐Size 3 (0.5 to < 5 mi²) ☐Size 4 (≥ 5 mi²)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☒Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☐No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)

☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric

☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric

☐A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☒B Not A

4. Feature Longitudinal Profile – assessment reach metric

☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric

Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).

☐A < 10% of channel unstable
☐B 10 to 25% of channel unstable
☒C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB	RB	
☐A	☐A	Little or no evidence of conditions that adversely affect reference interaction
☒B	☒B	Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
☐C	☐C	Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] or too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) or floodplain/intertidal zone unnaturally absent or assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.

- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☐B Excessive sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach and causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☐I Other: _____ (explain in "Notes/Sketch" section)
- ☒J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.

- ☐A Drought conditions and no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions and rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☒No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric
10a. ☐Yes ☒No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**

- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☐B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☒C Multiple snags and logs (including lap trees)
- ☐D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☐E Little or no habitat

Check for Tidal Marsh Streams Only

- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

**************************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS*********************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☒No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**

- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☐	☒	☐	☐	☐	Bedrock/saprolite
☐	☐	☒	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☐	☒	☐	Cobble (64 – 256 mm)
☐	☐	☒	☐	☐	Gravel (2 – 64 mm)
☐	☐	☒	☐	☐	Sand (.062 – 2 mm)
☒	☐	☐	☐	☐	Silt/clay (< 0.062 mm)
☒	☐	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☐Yes ☒No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☒Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☐	☐	Beetles
☒	☐	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☒	☐	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☒	☐	Other fish
☒	☐	Salamanders/tadpoles
☐	☐	Snails
☒	☐	Stonefly larvae (P)
☐	☐	Tipulid larvae
☐	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☐A	☐A	Little or no alteration to water storage capacity over a majority of the streamside area
☒B	☐B	Moderate alteration to water storage capacity over a majority of the streamside area
☐C	☒C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

- ☐A Streams and/or springs (jurisdictional discharges)
- ☐B Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
- ☐C Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
- ☐D Evidence of bank seepage or sweating (iron in water indicates seepage)
- ☒E Stream bed or bank soil reduced (dig through deposited sediment if present)
- ☐F None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

- ☐A Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
- ☐B Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
- ☐C Urban stream (≥ 24% impervious surface for watershed)
- ☒D Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
- ☐E Assessment reach relocated to valley edge
- ☐F None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

- ☒A Stream shading is appropriate for stream category (may include gaps associated with natural processes)
- ☐B Degraded (example: scattered trees)
- ☐C Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)
Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated		Wooded		
LB	RB	LB	RB	
☒A	☐A	☒A	☐A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☒B	☐B	☒B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☐E	☐E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☒B	☒B	Non-mature woody vegetation or modified vegetation structure
☐C	☐C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)
Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).
If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☒

Abuts		< 30 feet		30-50 feet		
LB	RB	LB	RB	LB	RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☐C	☐C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☒A	☒A	Medium to high stem density
☐B	☐B	Low stem density
☐C	☐C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)
Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)
Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☒B	☒B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☐C	☐C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)
25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☒No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R2707D 7-1 (Impact Site 5A)	Date of Assessment	11/10/2017
Stream Category	Pa2	Assessor Name/Organization	Melissa Ruiz, Alex Baldwin, Stantec

Notes of Field Assessment Form (Y/N)	NO
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Perennial

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**MEDIUM**	
(2) Baseflow	**HIGH**	
(2) Flood Flow	**MEDIUM**	
(3) Streamside Area Attenuation	**MEDIUM**	
(4) Floodplain Access	**MEDIUM**	
(4) Wooded Riparian Buffer	**HIGH**	
(4) Microtopography	**LOW**	
(3) Stream Stability	**MEDIUM**	
(4) Channel Stability	**LOW**	
(4) Sediment Transport	**HIGH**	
(4) Stream Geomorphology	**MEDIUM**	
(2) Stream/Intertidal Zone Interaction	NA	
(2) Longitudinal Tidal Flow	NA	
(2) Tidal Marsh Stream Stability	NA	
(3) Tidal Marsh Channel Stability	NA	
(3) Tidal Marsh Stream Geomorphology	NA	
(1) Water Quality	**HIGH**	
(2) Baseflow	**HIGH**	
(2) Streamside Area Vegetation	**HIGH**	
(3) Upland Pollutant Filtration	**HIGH**	
(3) Thermoregulation	**HIGH**	
(2) Indicators of Stressors	**NO**	
(2) Aquatic Life Tolerance	**HIGH**	
(2) Intertidal Zone Filtration	NA	
(1) Habitat	**HIGH**	
(2) In-stream Habitat	**HIGH**	
(3) Baseflow	**HIGH**	
(3) Substrate	**HIGH**	
(3) Stream Stability	**LOW**	
(3) In-stream Habitat	**HIGH**	
(2) Stream-side Habitat	**HIGH**	
(3) Stream-side Habitat	**HIGH**	
(3) Thermoregulation	**HIGH**	
(2) Tidal Marsh In-stream Habitat	NA	
(3) Flow Restriction	NA	
(3) Tidal Marsh Stream Stability	NA	
(4) Tidal Marsh Channel Stability	NA	
(4) Tidal Marsh Stream Geomorphology	NA	
(3) Tidal Marsh In-stream Habitat	NA	
(2) Intertidal Zone	NA	
Overall	**HIGH**	

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 3/4/2019
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz/Stantec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Buffalo Creek
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.28539, -81.478568

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-4 (Impact Site 5B)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☐Perennial flow ☒Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: **(skip for Tidal Marsh Stream**) ☒Size 1 (< 0.1 mi^2) ☐Size 2 (0.1 to < 0.5 mi^2) ☐Size 3 (0.5 to < 5 mi^2) ☐Size 4 (≥ 5 mi^2)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☒Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☒No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)

☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric

☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric

☐A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☒B Not A

4. Feature Longitudinal Profile – assessment reach metric

☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric

Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).

☐A < 10% of channel unstable
☒B 10 to 25% of channel unstable
☐C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB	RB	
☐A	☐A	Little or no evidence of conditions that adversely affect reference interaction
☒B	☒B	Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
☐C	☐C	Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] or too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) or floodplain/intertidal zone unnaturally absent or assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.

- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☒B Excessive sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach and causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☐I Other: _____ (explain in "Notes/Sketch" section)
- ☐J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.

- ☐A Drought conditions and no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions and rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☒No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric
10a. ☐Yes ☐No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**

- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☒B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☐C Multiple snags and logs (including lap trees)
- ☐D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☐E Little or no habitat

Check for Tidal Marsh Streams Only

- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

**************************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS*********************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☒No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**

- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☒	☐	☐	☐	☐	Bedrock/saprolite
☒	☐	☐	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☒	☐	☐	Cobble (64 – 256 mm)
☐	☐	☐	☒	☐	Gravel (2 – 64 mm)
☐	☐	☐	☒	☐	Sand (.062 – 2 mm)
☒	☐	☐	☐	☐	Silt/clay (< 0.062 mm)
☒	☐	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☐Yes ☒No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☐Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☐	☐	Beetles
☐	☐	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☐	☐	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☐	☐	Other fish
☐	☐	Salamanders/tadpoles
☐	☐	Snails
☒	☐	Stonefly larvae (P)
☐	☐	Tipulid larvae
☐	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☐A	☐A	Little or no alteration to water storage capacity over a majority of the streamside area
☒B	☒B	Moderate alteration to water storage capacity over a majority of the streamside area
☐C	☐C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

- ☐A Streams and/or springs (jurisdictional discharges)
- ☐B Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
- ☐C Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
- ☐D Evidence of bank seepage or sweating (iron in water indicates seepage)
- ☒E Stream bed or bank soil reduced (dig through deposited sediment if present)
- ☐F None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

- ☐A Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
- ☐B Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
- ☐C Urban stream (≥ 24% impervious surface for watershed)
- ☐D Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
- ☐E Assessment reach relocated to valley edge
- ☒F None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

- ☒A Stream shading is appropriate for stream category (may include gaps associated with natural processes)
- ☐B Degraded (example: scattered trees)
- ☐C Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)

Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated		Wooded		
LB	RB	LB	RB	
☒A	☒A	☒A	☒A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☐B	☐B	☐B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☐E	☐E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☒B	☒B	Non-mature woody vegetation or modified vegetation structure
☐C	☐C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)

Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).

If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☒

Abuts		< 30 feet		30-50 feet		
LB	RB	LB	RB	LB	RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☐C	☐C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)

Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☒A	☒A	Medium to high stem density
☐B	☐B	Low stem density
☐C	☐C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)

Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)

Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☒B	☒B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☐C	☐C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)

25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☐No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R2707D 7-4 (Impact Site 5B)	Date of Assessment	3/4/2019
Stream Category	Pa1	Assessor Name/Organization	Melissa Ruiz/Stantec

Notes of Field Assessment Form (Y/N)	NO
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	NO
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Intermittent

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**HIGH**	**HIGH**
(2) Baseflow	**HIGH**	**HIGH**
(2) Flood Flow	**HIGH**	**HIGH**
(3) Streamside Area Attenuation	**HIGH**	**HIGH**
(4) Floodplain Access	**MEDIUM**	**MEDIUM**
(4) Wooded Riparian Buffer	**HIGH**	**HIGH**
(4) Microtopography	**MEDIUM**	**MEDIUM**
(3) Stream Stability	**MEDIUM**	**MEDIUM**
(4) Channel Stability	**MEDIUM**	**MEDIUM**
(4) Sediment Transport	**MEDIUM**	**MEDIUM**
(4) Stream Geomorphology	**MEDIUM**	**MEDIUM**
(2) Stream/Intertidal Zone Interaction	NA	NA
(2) Longitudinal Tidal Flow	NA	NA
(2) Tidal Marsh Stream Stability	NA	NA
(3) Tidal Marsh Channel Stability	NA	NA
(3) Tidal Marsh Stream Geomorphology	NA	NA
(1) Water Quality	**HIGH**	**HIGH**
(2) Baseflow	**HIGH**	**HIGH**
(2) Streamside Area Vegetation	**HIGH**	**HIGH**
(3) Upland Pollutant Filtration	**HIGH**	**HIGH**
(3) Thermoregulation	**HIGH**	**HIGH**
(2) Indicators of Stressors	**NO**	**NO**
(2) Aquatic Life Tolerance	**MEDIUM**	**NA**
(2) Intertidal Zone Filtration	NA	NA
(1) Habitat	**LOW**	**HIGH**
(2) In-stream Habitat	**LOW**	**HIGH**
(3) Baseflow	**HIGH**	**HIGH**
(3) Substrate	**MEDIUM**	**MEDIUM**
(3) Stream Stability	**MEDIUM**	**MEDIUM**
(3) In-stream Habitat	**LOW**	**HIGH**
(2) Stream-side Habitat	**HIGH**	**HIGH**
(3) Stream-side Habitat	**HIGH**	**HIGH**
(3) Thermoregulation	**HIGH**	**HIGH**
(2) Tidal Marsh In-stream Habitat	NA	NA
(3) Flow Restriction	NA	NA
(3) Tidal Marsh Stream Stability	NA	NA
(4) Tidal Marsh Channel Stability	NA	NA
(4) Tidal Marsh Stream Geomorphology	NA	NA
(3) Tidal Marsh In-stream Habitat	NA	NA
(2) Intertidal Zone	NA	NA
Overall	**HIGH**	**HIGH**

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 11/10/2017
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz, Alex Baldwin, Stantec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Kings Mountain Reservoir
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.281757, -81.477606

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-1 (Impact Site 6A US)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☒Perennial flow ☐Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: **(skip for Tidal Marsh Stream**) ☐Size 1 (< 0.1 mi^2) ☐Size 2 (0.1 to < 0.5 mi^2) ☒Size 3 (0.5 to < 5 mi^2) ☐Size 4 (≥ 5 mi^2)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☒Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☐No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)
☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric
☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric
☒A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☐B Not A

4. Feature Longitudinal Profile – assessment reach metric
☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric
Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).
☐A < 10% of channel unstable
☐B 10 to 25% of channel unstable
☒C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB RB
- ☐A ☐A Little or no evidence of conditions that adversely affect reference interaction
- ☐B ☐B Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
- ☒C ☒C Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] <u>or</u> too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) <u>or</u> floodplain/intertidal zone unnaturally absent <u>or</u> assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.
- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☒B <u>Excessive</u> sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach <u>and</u> causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☐I Other: ____________________ (explain in "Notes/Sketch" section)
- ☐J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.
- ☐A Drought conditions <u>and</u> no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions <u>and</u> rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☒No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric

10a. ☒Yes ☐No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**
- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☐B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☐C Multiple snags and logs (including lap trees)
- ☐D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☒E Little or no habitat

Check for Tidal Marsh Streams Only
- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

**********************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS*****************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☒No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**
- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☒	☐	☐	☐	☐	Bedrock/saprolite
☒	☐	☐	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☒	☐	☐	Cobble (64 – 256 mm)
☐	☐	☒	☐	☐	Gravel (2 – 64 mm)
☐	☐	☐	☐	☒	Sand (.062 – 2 mm)
☒	☐	☐	☐	☐	Silt/clay (< 0.062 mm)
☒	☐	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☐Yes ☒No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☒Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☐	☐	Beetles
☐	☐	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☐	☐	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☐	☒	Other fish
☐	☐	Salamanders/tadpoles
☐	☐	Snails
☐	☒	Stonefly larvae (P)
☐	☐	Tipulid larvae
☒	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☐A	☐A	Little or no alteration to water storage capacity over a majority of the streamside area
☐B	☐B	Moderate alteration to water storage capacity over a majority of the streamside area
☒C	☒C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

- ☐A Streams and/or springs (jurisdictional discharges)
- ☐B Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
- ☐C Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
- ☐D Evidence of bank seepage or sweating (iron in water indicates seepage)
- ☒E Stream bed or bank soil reduced (dig through deposited sediment if present)
- ☐F None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

- ☐A Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
- ☐B Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
- ☐C Urban stream (≥ 24% impervious surface for watershed)
- ☒D Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
- ☐E Assessment reach relocated to valley edge
- ☐F None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

- ☐A Stream shading is appropriate for stream category (may include gaps associated with natural processes)
- ☐B Degraded (example: scattered trees)
- ☒C Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)
Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated		Wooded		
LB	RB	LB	RB	
☒A	☒A	☐A	☐A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☐B	☐B	☐B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☒E	☒E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☐B	☐B	Non-mature woody vegetation or modified vegetation structure
☒C	☒C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)
Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).
If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☐

Abuts		< 30 feet		30-50 feet		
LB	RB	LB	RB	LB	RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☒C	☒C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☐A	☐A	Medium to high stem density
☐B	☐B	Low stem density
☒C	☒C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)
Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)
Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☐B	☐B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☒C	☒C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)
25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☒No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:
Trash in stream

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R2707D 7-1 (Impact Site 6A US)	Date of Assessment	11/10/2017
Stream Category	Pa3	Assessor Name/Organization	Melissa Ruiz, Alex Baldwin, Stantec

Notes of Field Assessment Form (Y/N)	YES
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Perennial

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**LOW**	
(2) Baseflow	**HIGH**	
(2) Flood Flow	**LOW**	
(3) Streamside Area Attenuation	**LOW**	
(4) Floodplain Access	**LOW**	
(4) Wooded Riparian Buffer	**LOW**	
(4) Microtopography	**LOW**	
(3) Stream Stability	**LOW**	
(4) Channel Stability	**LOW**	
(4) Sediment Transport	**LOW**	
(4) Stream Geomorphology	**LOW**	
(2) Stream/Intertidal Zone Interaction	NA	
(2) Longitudinal Tidal Flow	NA	
(2) Tidal Marsh Stream Stability	NA	
(3) Tidal Marsh Channel Stability	NA	
(3) Tidal Marsh Stream Geomorphology	NA	
(1) Water Quality	**LOW**	
(2) Baseflow	**HIGH**	
(2) Streamside Area Vegetation	**LOW**	
(3) Upland Pollutant Filtration	**LOW**	
(3) Thermoregulation	**LOW**	
(2) Indicators of Stressors	**YES**	
(2) Aquatic Life Tolerance	**HIGH**	
(2) Intertidal Zone Filtration	NA	
(1) Habitat	**LOW**	
(2) In-stream Habitat	**LOW**	
(3) Baseflow	**HIGH**	
(3) Substrate	**LOW**	
(3) Stream Stability	**LOW**	
(3) In-stream Habitat	**LOW**	
(2) Stream-side Habitat	**LOW**	
(3) Stream-side Habitat	**LOW**	
(3) Thermoregulation	**LOW**	
(2) Tidal Marsh In-stream Habitat	NA	
(3) Flow Restriction	NA	
(3) Tidal Marsh Stream Stability	NA	
(4) Tidal Marsh Channel Stability	NA	
(4) Tidal Marsh Stream Geomorphology	NA	
(3) Tidal Marsh In-stream Habitat	NA	
(2) Intertidal Zone	NA	
Overall	**LOW**	

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 11/10/2017
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz, Alex Baldwin, Stantec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Kings Mountain Reservoir
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.281812, -81.477274

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-1 (Impact Site 6A DS)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☒Perennial flow ☐Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: (**skip for Tidal Marsh Stream**) ☐Size 1 (< 0.1 mi²) ☐Size 2 (0.1 to < 0.5 mi²) ☒Size 3 (0.5 to < 5 mi²) ☐Size 4 (≥ 5 mi²)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☒Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☐No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)
☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric
☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric
☒A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☐B Not A

4. Feature Longitudinal Profile – assessment reach metric
☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric
Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).
☐A < 10% of channel unstable
☐B 10 to 25% of channel unstable
☒C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB	RB	
☐A	☐A	Little or no evidence of conditions that adversely affect reference interaction
☐B	☐B	Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
☒C	☒C	Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] or too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) or floodplain/intertidal zone unnaturally absent or assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.

- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☒B Excessive sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach and causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☐I Other: _____ (explain in "Notes/Sketch" section)
- ☐J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.

- ☐A Drought conditions and no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions and rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☒No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric
10a. ☒Yes ☐No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**

- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☐B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☐C Multiple snags and logs (including lap trees)
- ☐D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☒E Little or no habitat

Check for Tidal Marsh Streams Only

- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

************************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS****************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☒No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**

- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☒	☐	☐	☐	☐	Bedrock/saprolite
☒	☐	☐	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☒	☐	☐	Cobble (64 – 256 mm)
☐	☐	☒	☐	☐	Gravel (2 – 64 mm)
☐	☐	☐	☐	☒	Sand (.062 – 2 mm)
☒	☐	☐	☐	☐	Silt/clay (< 0.062 mm)
☒	☐	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☐Yes ☒No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☒Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☐	☐	Beetles
☐	☐	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☐	☐	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☐	☒	Other fish
☐	☐	Salamanders/tadpoles
☐	☐	Snails
☐	☒	Stonefly larvae (P)
☐	☐	Tipulid larvae
☒	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☐A	☐A	Little or no alteration to water storage capacity over a majority of the streamside area
☐B	☐B	Moderate alteration to water storage capacity over a majority of the streamside area
☒C	☒C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

- ☐A Streams and/or springs (jurisdictional discharges)
- ☐B Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
- ☐C Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
- ☐D Evidence of bank seepage or sweating (iron in water indicates seepage)
- ☒E Stream bed or bank soil reduced (dig through deposited sediment if present)
- ☐F None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

- ☐A Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
- ☐B Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
- ☐C Urban stream (≥ 24% impervious surface for watershed)
- ☒D Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
- ☐E Assessment reach relocated to valley edge
- ☐F None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

- ☐A Stream shading is appropriate for stream category (may include gaps associated with natural processes)
- ☐B Degraded (example: scattered trees)
- ☒C Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)
Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated		Wooded		
LB	RB	LB	RB	
☒A	☒A	☐A	☐A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☐B	☐B	☐B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☒E	☒E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☐B	☐B	Non-mature woody vegetation or modified vegetation structure
☒C	☒C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)
Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).
If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☐

Abuts		< 30 feet		30-50 feet		
LB	RB	LB	RB	LB	RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☒C	☒C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☐A	☐A	Medium to high stem density
☐B	☐B	Low stem density
☒C	☒C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)
Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)
Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☐B	☐B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☒C	☒C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)
25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☒No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:
Trash in stream

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R2707D 7-1 (Impact Site 6A DS)	Date of Assessment	11/10/2017
Stream Category	Pa3	Assessor Name/Organization	Melissa Ruiz, Alex Baldwin, Stantec

Notes of Field Assessment Form (Y/N)	YES
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Perennial

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**LOW**	
(2) Baseflow	**HIGH**	
(2) Flood Flow	**LOW**	
(3) Streamside Area Attenuation	**LOW**	
(4) Floodplain Access	**LOW**	
(4) Wooded Riparian Buffer	**LOW**	
(4) Microtopography	**LOW**	
(3) Stream Stability	**LOW**	
(4) Channel Stability	**LOW**	
(4) Sediment Transport	**LOW**	
(4) Stream Geomorphology	**LOW**	
(2) Stream/Intertidal Zone Interaction	NA	
(2) Longitudinal Tidal Flow	NA	
(2) Tidal Marsh Stream Stability	NA	
(3) Tidal Marsh Channel Stability	NA	
(3) Tidal Marsh Stream Geomorphology	NA	
(1) Water Quality	**LOW**	
(2) Baseflow	**HIGH**	
(2) Streamside Area Vegetation	**LOW**	
(3) Upland Pollutant Filtration	**LOW**	
(3) Thermoregulation	**LOW**	
(2) Indicators of Stressors	**YES**	
(2) Aquatic Life Tolerance	**HIGH**	
(2) Intertidal Zone Filtration	NA	
(1) Habitat	**LOW**	
(2) In-stream Habitat	**LOW**	
(3) Baseflow	**HIGH**	
(3) Substrate	**LOW**	
(3) Stream Stability	**LOW**	
(3) In-stream Habitat	**LOW**	
(2) Stream-side Habitat	**LOW**	
(3) Stream-side Habitat	**LOW**	
(3) Thermoregulation	**LOW**	
(2) Tidal Marsh In-stream Habitat	NA	
(3) Flow Restriction	NA	
(3) Tidal Marsh Stream Stability	NA	
(4) Tidal Marsh Channel Stability	NA	
(4) Tidal Marsh Stream Geomorphology	NA	
(3) Tidal Marsh In-stream Habitat	NA	
(2) Intertidal Zone	NA	
Overall	**LOW**	

NC SAM FIELD ASSESSMENT RESULTS
Accompanies User Manual Version 2.1

USACE AID #: NCDWR #:

INSTRUCTIONS: Attach a sketch of the assessment area and photographs. Attach a copy of the USGS 7.5-minute topographic quadrangle, and circle the location of the stream reach under evaluation. If multiple stream reaches will be evaluated on the same property, identify and number all reaches on the attached map, and include a separate form for each reach. See the NC SAM User Manual for detailed descriptions and explanations of requested information. Record in the "Notes/Sketch" section if supplementary measurements were performed. See the NC SAM User Manual for examples of additional measurements that may be relevant.

NOTE EVIDENCE OF STRESSORS AFFECTING THE ASSESSMENT AREA (do not need to be within the assessment area).

PROJECT/SITE INFORMATION:

1. Project name (if any): R2707D
2. Date of evaluation: 11/10/2017
3. Applicant/owner name: NCDOT
4. Assessor name/organization: Melissa Ruiz, Alex Baldwin, Stantec
5. County: Cleveland
6. Nearest named water body on USGS 7.5-minute quad: Kings Mountain Reservoir
7. River basin: Broad
8. Site coordinates (decimal degrees, at lower end of assessment reach): 35.2818434, -81.477095

STREAM INFORMATION: (depth and width can be approximations)

9. Site number (show on attached map): 7-5 (Impact Site 6B)
10. Length of assessment reach evaluated (feet): 100
11. Channel depth from bed (in riffle, if present) to top of bank (feet): 4 ☐Unable to assess channel depth.
12. Channel width at top of bank (feet): 8
13. Is assessment reach a swamp steam? ☐Yes ☐No
14. Feature type: ☒Perennial flow ☐Intermittent flow ☐Tidal Marsh Stream

STREAM CATEGORY INFORMATION:

15. NC SAM Zone: ☐ Mountains (M) ☒ Piedmont (P) ☐ Inner Coastal Plain (I) ☐ Outer Coastal Plain (O)
16. Estimated geomorphic valley shape (**skip for Tidal Marsh Stream**):
☒A  (more sinuous stream, flatter valley slope)
☐B  (less sinuous stream, steeper valley slope)
17. Watershed size: **(skip for Tidal Marsh Stream)** ☐Size 1 (< 0.1 mi^2) ☐Size 2 (0.1 to < 0.5 mi^2) ☒Size 3 (0.5 to < 5 mi^2) ☐Size 4 (≥ 5 mi^2)

ADDITIONAL INFORMATION:

18. Were regulatory considerations evaluated? ☒Yes ☐No If Yes, check all that apply to the assessment area.
☐Section 10 water ☐Classified Trout Waters ☐Water Supply Watershed (☐I ☐II ☐III ☐IV ☐V)
☐Essential Fish Habitat ☐Primary Nursery Area ☐ High Quality Waters/Outstanding Resource Waters
☐Publicly owned property ☐NCDWR Riparian buffer rule in effect ☐Nutrient Sensitive Waters
☐Anadromous fish ☐303(d) List ☐CAMA Area of Environmental Concern (AEC)
☐Documented presence of a federal and/or state listed protected species within the assessment area.
List species:
☐Designated Critical Habitat (list species)
19. Are additional stream information/supplementary measurements included in "Notes/Sketch" section or attached? ☐Yes ☐No

1. Channel Water – assessment reach metric (skip for Size 1 streams and Tidal Marsh Streams)
☒A Water throughout assessment reach.
☐B No flow, water in pools only.
☐C No water in assessment reach.

2. Evidence of Flow Restriction – assessment reach metric
☐A At least 10% of assessment reach in-stream habitat or riffle-pool sequence is severely affected by a flow restriction or fill to the point of obstructing flow or a channel choked with aquatic macrophytes or ponded water or impoundment on flood or ebb within the assessment reach (examples: undersized or perched culverts, causeways that constrict the channel, tidal gates, debris jams, beaver dams).
☒B Not A

3. Feature Pattern – assessment reach metric
☒A A majority of the assessment reach has altered pattern (examples: straightening, modification above or below culvert).
☐B Not A

4. Feature Longitudinal Profile – assessment reach metric
☒A Majority of assessment reach has a substantially altered stream profile (examples: channel down-cutting, existing damming, over widening, active aggradation, dredging, and excavation where appropriate channel profile has not reformed from any of these disturbances).
☐B Not A

5. Signs of Active Instability – assessment reach metric
Consider only current instability, not past events from which the stream has currently recovered. Examples of instability include active bank failure, active channel down-cutting (head-cut), active widening, and artificial hardening (such as concrete, gabion, rip-rap).
☐A < 10% of channel unstable
☐B 10 to 25% of channel unstable
☒C > 25% of channel unstable

6. Streamside Area Interaction – streamside area metric
Consider for the Left Bank (LB) and the Right Bank (RB).

LB	RB	
☐A	☐A	Little or no evidence of conditions that adversely affect reference interaction
☐B	☐B	Moderate evidence of conditions (examples: berms, levees, down-cutting, aggradation, dredging) that adversely affect reference interaction (examples: limited streamside area access, disruption of flood flows through streamside area, leaky or intermittent bulkheads, causeways with floodplain constriction, minor ditching [including mosquito ditching])
☒C	☒C	Extensive evidence of conditions that adversely affect reference interaction (little to no floodplain/intertidal zone access [examples: causeways with floodplain and channel constriction, bulkheads, retaining walls, fill, stream incision, disruption of flood flows through streamside area] or too much floodplain/intertidal zone access [examples: impoundments, intensive mosquito ditching]) or floodplain/intertidal zone unnaturally absent or assessment reach is a man-made feature on an interstream divide

7. Water Quality Stressors – assessment reach/intertidal zone metric
Check all that apply.

- ☐A Discolored water in stream or intertidal zone (milky white, blue, unnatural water discoloration, oil sheen, stream foam)
- ☒B Excessive sedimentation (burying of stream features or intertidal zone)
- ☐C Noticeable evidence of pollutant discharges entering the assessment reach and causing a water quality problem
- ☐D Odor (not including natural sulfide odors)
- ☐E Current published or collected data indicating degraded water quality in the assessment reach. Cite source in "Notes/Sketch" section.
- ☐F Livestock with access to stream or intertidal zone
- ☐G Excessive algae in stream or intertidal zone
- ☐H Degraded marsh vegetation in the intertidal zone (removal, burning, regular mowing, destruction, etc)
- ☐I Other: _____ (explain in "Notes/Sketch" section)
- ☐J Little to no stressors

8. Recent Weather – watershed metric (skip for Tidal Marsh Streams)
For Size 1 or 2 streams, D1 drought or higher is considered a drought; for Size 3 or 4 streams, D2 drought or higher is considered a drought.

- ☐A Drought conditions and no rainfall or rainfall not exceeding 1 inch within the last 48 hours
- ☐B Drought conditions and rainfall exceeding 1 inch within the last 48 hours
- ☒C No drought conditions

9. Large or Dangerous Stream – assessment reach metric
☐Yes ☒No Is stream is too large or dangerous to assess? If Yes, skip to Metric 13 (Streamside Area Ground Surface Condition).

10. Natural In-stream Habitat Types – assessment reach metric
10a. ☒Yes ☐No Degraded in-stream habitat over majority of the assessment reach (examples of stressors include excessive sedimentation, mining, excavation, in-stream hardening [for example, rip-rap], recent dredging, and snagging) **(evaluate for Size 4 Coastal Plain streams only, then skip to Metric 12)**

10b. **Check all that occur** (occurs if > 5% coverage of assessment reach) **(skip for Size 4 Coastal Plain streams)**

- ☐A Multiple aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
- ☐B Multiple sticks and/or leaf packs and/or emergent vegetation
- ☐C Multiple snags and logs (including lap trees)
- ☐D 5% undercut banks and/or root mats and/or roots in banks extend to the normal wetted perimeter
- ☒E Little or no habitat

Check for Tidal Marsh Streams Only

- ☐F 5% oysters or other natural hard bottoms
- ☐G Submerged aquatic vegetation
- ☐H Low-tide refugia (pools)
- ☐I Sand bottom
- ☐J 5% vertical bank along the marsh
- ☐K Little or no habitat

************************************REMAINING QUESTIONS ARE NOT APPLICABLE FOR TIDAL MARSH STREAMS****************************

11. Bedform and Substrate – assessment reach metric (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)

11a. ☐Yes ☒No Is assessment reach in a natural sand-bed stream? **(skip for Coastal Plain streams)**

11b. Bedform evaluated. **Check the appropriate box(es).**

- ☒A Riffle-run section **(evaluate 11c)**
- ☒B Pool-glide section **(evaluate 11d)**
- ☐C Natural bedform absent **(skip to Metric 12, Aquatic Life)**

11c. In riffle sections, check all that occur below the normal wetted perimeter of the assessment reach – whether or not submerged. **Check at least one box in each row (skip for Size 4 Coastal Plain streams and Tidal Marsh Streams)**. Not Present (NP) = absent, Rare (R) = present but ≤ 10%, Common (C) = > 10-40%, Abundant (A) = > 40-70%, Predominant (P) = > 70%. Cumulative percentages should not exceed 100% for each assessment reach.

NP	R	C	A	P	
☒	☐	☐	☐	☐	Bedrock/saprolite
☒	☐	☐	☐	☐	Boulder (256 – 4096 mm)
☐	☐	☒	☐	☐	Cobble (64 – 256 mm)
☐	☐	☒	☐	☐	Gravel (2 – 64 mm)
☐	☐	☐	☐	☒	Sand (.062 – 2 mm)
☒	☐	☐	☐	☐	Silt/clay (< 0.062 mm)
☒	☐	☐	☐	☐	Detritus
☒	☐	☐	☐	☐	Artificial (rip-rap, concrete, etc.)

11d. ☐Yes ☒No Are pools filled with sediment? (**skip for Size 4 Coastal Plain streams and Tidal Marsh Streams**)

12. Aquatic Life – assessment reach metric (skip for Tidal Marsh Streams)

12a. ☒Yes ☐No Was an in-stream aquatic life assessment performed as described in the User Manual?
If No, select one of the following reasons and skip to Metric 13. ☐No Water ☒Other: _____

12b. ☒Yes ☐No Are aquatic organisms present in the assessment reach (look in riffles, pools, then snags)? If Yes, check all that apply. If No, skip to Metric 13.

1	>1	Numbers over columns refer to "individuals" for Size 1 and 2 streams and "taxa" for Size 3 and 4 streams.
☐	☐	Adult frogs
☐	☐	Aquatic reptiles
☐	☐	Aquatic macrophytes and aquatic mosses (include liverworts, lichens, and algal mats)
☐	☐	Beetles
☐	☐	Caddisfly larvae (T)
☐	☐	Asian clam (*Corbicula*)
☐	☐	Crustacean (isopod/amphipod/crayfish/shrimp)
☐	☐	Damselfly and dragonfly larvae
☐	☐	Dipterans
☐	☐	Mayfly larvae (E)
☐	☐	Megaloptera (alderfly, fishfly, dobsonfly larvae)
☐	☐	Midges/mosquito larvae
☐	☐	Mosquito fish (*Gambusia*) or mud minnows (*Umbra pygmaea*)
☐	☐	Mussels/Clams (not *Corbicula*)
☐	☒	Other fish
☐	☐	Salamanders/tadpoles
☐	☐	Snails
☐	☒	Stonefly larvae (P)
☐	☐	Tipulid larvae
☒	☐	Worms/leeches

13. Streamside Area Ground Surface Condition – streamside area metric (skip for Tidal Marsh Streams and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB). Consider storage capacity with regard to both overbank flow and upland runoff.

LB	RB	
☐A	☐A	Little or no alteration to water storage capacity over a majority of the streamside area
☐B	☐B	Moderate alteration to water storage capacity over a majority of the streamside area
☒C	☒C	Severe alteration to water storage capacity over a majority of the streamside area (examples: ditches, fill, soil compaction, livestock disturbance, buildings, man-made levees, drainage pipes)

14. Streamside Area Water Storage – streamside area metric (skip for Size 1 streams, Tidal Marsh Streams, and B valley types)
Consider for the Left Bank (LB) and the Right Bank (RB) of the streamside area.

LB	RB	
☐A	☐A	Majority of streamside area with depressions able to pond water ≥ 6 inches deep
☐B	☐B	Majority of streamside area with depressions able to pond water 3 to 6 inches deep
☒C	☒C	Majority of streamside area with depressions able to pond water < 3 inches deep

15. Wetland Presence – streamside area metric (skip for Tidal Marsh Streams)
Consider for the Left Bank (LB) and the Right Bank (RB). Do not consider wetlands outside of the streamside area or within the normal wetted perimeter of assessment reach.

LB	RB	
☐Y	☐Y	Are wetlands present in the streamside area?
☒N	☒N	

16. Baseflow Contributors – assessment reach metric (skip for Size 4 streams and Tidal Marsh Streams)
Check all contributors within the assessment reach or within view of <u>and</u> draining to the assessment reach.

- ☐A Streams and/or springs (jurisdictional discharges)
- ☐B Ponds (include wet detention basins; do not include sediment basins or dry detention basins)
- ☐C Obstruction passing flow during low-flow periods within the assessment area (beaver dam, leaky dam, bottom-release dam, weir)
- ☐D Evidence of bank seepage or sweating (iron in water indicates seepage)
- ☒E Stream bed or bank soil reduced (dig through deposited sediment if present)
- ☐F None of the above

17. Baseflow Detractors – assessment area metric (skip for Tidal Marsh Streams)
Check all that apply.

- ☐A Evidence of substantial water withdrawals from the assessment reach (includes areas excavated for pump installation)
- ☐B Obstruction not passing flow during low-flow periods affecting the assessment reach (ex: watertight dam, sediment deposit)
- ☐C Urban stream (≥ 24% impervious surface for watershed)
- ☒D Evidence that the streamside area has been modified resulting in accelerated drainage into the assessment reach
- ☐E Assessment reach relocated to valley edge
- ☐F None of the above

18. Shading – assessment reach metric (skip for Tidal Marsh Streams)
Consider aspect. Consider "leaf-on" condition.

- ☐A Stream shading is appropriate for stream category (may include gaps associated with natural processes)
- ☐B Degraded (example: scattered trees)
- ☒C Stream shading is gone or largely absent

19. Buffer Width – streamside area metric (skip for Tidal Marsh Streams)
Consider "vegetated buffer" and "wooded buffer" separately for left bank (LB) and right bank (RB) starting at the top of bank out to the first break.

Vegetated		Wooded		
LB	RB	LB	RB	
☒A	☒A	☐A	☐A	≥ 100 feet wide or extends to the edge of the watershed
☐B	☐B	☐B	☐B	From 50 to < 100 feet wide
☐C	☐C	☐C	☐C	From 30 to < 50 feet wide
☐D	☐D	☐D	☐D	From 10 to < 30 feet wide
☐E	☐E	☒E	☒E	< 10 feet wide or no trees

20. Buffer Structure – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Vegetated" Buffer Width).

LB	RB	
☐A	☐A	Mature forest
☐B	☐B	Non-mature woody vegetation or modified vegetation structure
☒C	☒C	Herbaceous vegetation with or without a strip of trees < 10 feet wide
☐D	☐D	Maintained shrubs
☐E	☐E	Little or no vegetation

21. Buffer Stressors – streamside area metric (skip for Tidal Marsh Streams)
Check all appropriate boxes for left bank (LB) and right bank (RB). Indicate if listed stressor abuts stream (Abuts), does not abut but is within 30 feet of stream (< 30 feet), or is between 30 to 50 feet of stream (30-50 feet).
If none of the following stressors occurs on either bank, check here and skip to Metric 22: ☐

Abuts		< 30 feet		30-50 feet		
LB	RB	LB	RB	LB	RB	
☐A	☐A	☐A	☐A	☐A	☐A	Row crops
☐B	☐B	☐B	☐B	☐B	☐B	Maintained turf
☒C	☒C	☐C	☐C	☐C	☐C	Pasture (no livestock)/commercial horticulture
☐D	☐D	☐D	☐D	☐D	☐D	Pasture (active livestock use)

22. Stem Density – streamside area metric (skip for Tidal Marsh Streams)
Consider for left bank (LB) and right bank (RB) for Metric 19 ("Wooded" Buffer Width).

LB	RB	
☐A	☐A	Medium to high stem density
☐B	☐B	Low stem density
☒C	☒C	No wooded riparian buffer or predominantly herbaceous species or bare ground

23. Continuity of Vegetated Buffer – streamside area metric (skip for Tidal Marsh Streams)
Consider whether vegetated buffer is continuous along stream (parallel). Breaks are areas lacking vegetation > 10 feet wide.

LB	RB	
☒A	☒A	The total length of buffer breaks is < 25 percent.
☐B	☐B	The total length of buffer breaks is between 25 and 50 percent.
☐C	☐C	The total length of buffer breaks is > 50 percent.

24. Vegetative Composition – streamside area metric (skip for Tidal Marsh Streams)
Evaluate the dominant vegetation within 100 feet of each bank or to the edge of the watershed (whichever comes first) as it contributes to assessment reach habitat.

LB	RB	
☐A	☐A	Vegetation is close to undisturbed in species present and their proportions. Lower strata composed of native species, with non-native invasive species absent or sparse.
☐B	☐B	Vegetation indicates disturbance in terms of species diversity or proportions, but is still largely composed of native species. This may include communities of weedy native species that develop after clear-cutting or clearing or communities with non-native invasive species present, but not dominant, over a large portion of the expected strata or communities missing understory but retaining canopy trees.
☒C	☒C	Vegetation is severely disturbed in terms of species diversity or proportions. Mature canopy is absent or communities with non-native invasive species dominant over a large portion of expected strata or communities composed of planted stands of non-characteristic species or communities inappropriately composed of a single species or no vegetation.

25. Conductivity – assessment reach metric (skip for all Coastal Plain streams)
25a. ☐Yes ☒No Was conductivity measurement recorded?
If No, select one of the following reasons. ☒No Water ☐Other: _____

25b. Check the box corresponding to the conductivity measurement (units of microsiemens per centimeter).
☐A < 46 ☐B 46 to < 67 ☐C 67 to < 79 ☐D 79 to < 230 ☐E ≥ 230

Notes/Sketch:
Trash in stream

Draft NC SAM Stream Rating Sheet
Accompanies User Manual Version 2.1

Stream Site Name	R2707D 7-5 (Impact Site 6B)	Date of Assessment	11/10/2017
Stream Category	Pa3	Assessor Name/Organization	Melissa Ruiz, Alex Baldwin, Stantec

Notes of Field Assessment Form (Y/N)	YES
Presence of regulatory considerations (Y/N)	NO
Additional stream information/supplementary measurements included (Y/N)	
NC SAM feature type (perennial, intermittent, Tidal Marsh Stream)	Perennial

Function Class Rating Summary	USACE/ All Streams	NCDWR Intermittent
(1) Hydrology	**LOW**	
(2) Baseflow	**HIGH**	
(2) Flood Flow	**LOW**	
(3) Streamside Area Attenuation	**LOW**	
(4) Floodplain Access	**LOW**	
(4) Wooded Riparian Buffer	**LOW**	
(4) Microtopography	**LOW**	
(3) Stream Stability	**LOW**	
(4) Channel Stability	**LOW**	
(4) Sediment Transport	**LOW**	
(4) Stream Geomorphology	**LOW**	
(2) Stream/Intertidal Zone Interaction	NA	
(2) Longitudinal Tidal Flow	NA	
(2) Tidal Marsh Stream Stability	NA	
(3) Tidal Marsh Channel Stability	NA	
(3) Tidal Marsh Stream Geomorphology	NA	
(1) Water Quality	**LOW**	
(2) Baseflow	**HIGH**	
(2) Streamside Area Vegetation	**LOW**	
(3) Upland Pollutant Filtration	**LOW**	
(3) Thermoregulation	**LOW**	
(2) Indicators of Stressors	**YES**	
(2) Aquatic Life Tolerance	**HIGH**	
(2) Intertidal Zone Filtration	NA	
(1) Habitat	**LOW**	
(2) In-stream Habitat	**LOW**	
(3) Baseflow	**HIGH**	
(3) Substrate	**LOW**	
(3) Stream Stability	**LOW**	
(3) In-stream Habitat	**LOW**	
(2) Stream-side Habitat	**LOW**	
(3) Stream-side Habitat	**LOW**	
(3) Thermoregulation	**LOW**	
(2) Tidal Marsh In-stream Habitat	NA	
(3) Flow Restriction	NA	
(3) Tidal Marsh Stream Stability	NA	
(4) Tidal Marsh Channel Stability	NA	
(4) Tidal Marsh Stream Geomorphology	NA	
(3) Tidal Marsh In-stream Habitat	NA	
(2) Intertidal Zone	NA	
Overall	**LOW**	